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PATENT, TRADEMARK, COPYRIGHT
AND UNFAIR COMPETITION LAW
AND RELATED LITIGATION

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TRUMAN A. HERRON 1935-1976
EDWARD B. EVANS 1938-1971

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June 8, 2005

FACSIMILE COVER SHEET

To: Examiner Jason Scott Proctor
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

Fax: 703-872-9306

Enclosures:

Fax Cover Sheet containing Certificate of Facsimile
Transmission (1 page)
Transmittal containing Certificate of Facsimile
Transmission Time (2 pages)
Request for Continued Examination (RCE) (in
duplicate) containing Certificate of Facsimile
Transmission and authorization to charge Deposit
Account 23-3000 in the amount of \$790.00 for the
RCE fee (2 pages, including duplicate)
Preliminary Amendment (8 pages)
Supplemental Information Disclosure Statement (1 page)
Substitute Form PTO-1449 (1 page)

From: Douglas A. Scholer
Reg. No. 52,197

Re: U.S. Patent Application
Serial No. 09/939,232
Filed: August 24, 2001
Applicant: William Joseph Armstrong et al.
Art Unit: 2123
Confirmation No.: 4082
Our Ref: IBM/182

Pages: 15 (including cover sheet)

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**MESSAGE/COMMENTS
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Judith L. Volk
Judith L. Volk

June 8, 2005
Date

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PATENT

Att'y Docket No. IBM/182/124

Confirmation No. 4082**CERTIFICATE OF FACSIMILE TRANSMISSION**

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Judith L. Volk
Judith L. Volk

June 8, 2005
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Joseph Armstrong et al. Art Unit: 2123
Serial No.: 09/939,232 Examiner: Jason Scott Proctor
Filed : August 24, 2001
For : SYSTEM FOR YIELDING TO A PROCESSOR

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. ☒ Transmitted herewith is a Request for Continued Examination (RCE), a Preliminary Amendment and a Supplemental Information Disclosure Statement with Substitute Form PTO-1449 attached.
2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
☐ Enclosed is a verified statement to establish Small Entity status
☒ Other than a Small Entity
3. The fee has been calculated as shown below:

CALCULATION OF FEES

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	21	minus	21	0	\$50	\$0.00
Independent Claims	3	minus	3	0	\$200	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$360	\$0.00
TOTAL FEE FOR CLAIMS:						\$0.00

- ☒ No additional fee for claims is required.

Page 1 of 2
Serial No. 09/939,232
Transmittal for RCE Preliminary Amendment of June 8, 2005
IBM Docket: ROC920000314US1

4. ☐ Attached is a check in the sum of \$_____ for additional claims.
☒ Please charge my Deposit Account No. 23-3000 in the amount of \$790.00 for the RCE fee.

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.

- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 120.00	\$ 60.00
<input type="checkbox"/>	two months	\$ 450.00	\$ 225.00
<input type="checkbox"/>	three months	\$1,020.00	\$ 510.00
<input type="checkbox"/>	four months	\$1,590.00	\$ 795.00
<input type="checkbox"/>	five months	\$2,160.00	\$1,080.00

Extension fee due with this request:

\$

Method of Payment:

Check enclosed in the amount of \$

If an additional extension of time is required, please consider this a petition therefor.


(Check and complete the next item, if applicable)

- ☐ An extension for ____ months has already been secured and the fee paid thereof of \$____ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$____.
- OR**
- ☒ (b) Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.
6. ☒ If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:


 Douglas A. Scholer
 Reg. No. 52,197

2700 Carew Tower
 441 Vine Street
 Cincinnati, Ohio 45202-2917
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Enclosed:

Fax Cover Sheet containing Certificate of Facsimile Transmission (1 page)
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 Request for Continued Examination (RCE) containing Certificate of Facsimile Transmission and Authorization to Charge Deposit Account 23-3000 in the amount of \$790.00 (in duplicate - 2 total pages)
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PTO/SB/30 (04-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Request for Continued Examination (RCE) Transmittal Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	09/939,232
	Filing Date	August 24, 2001
	First Named Inventor	William Joseph Armstrong
	Art Unit	2123
	Examiner Name	Jason Scott Proctor
	Attorney Docket Number	IBM/182 Confirmation No. 4082

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
 Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

 - ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
 - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 - ☐ Other _____
 - ☒ Enclosed
 - ☒ Amendment/Reply
 - ☐ Affidavit(s)/ Declaration(s)
 - ☒ Information Disclosure Statement (IDS)
 - ☒ Other Fax Cover Sheet, Transmittal, PTO-1449
- Miscellaneous**

 - ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
 - ☐ Other _____
- Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 23-3000. I have enclosed a duplicate copy of this sheet.

 - ☒ RCE fee required under 37 CFR 1.17(e) | 06/10/2005 MBIZUNES 00000036 233000 09939232
 - ☐ Extension of time fee (37 CFR 1.136 and 1.17) | 01 FC:1801 790.00 DA
 - ☐ Other _____
 - ☐ Check in the amount of \$ _____ enclosed
 - ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Signature	Date
Name (Print/Type) <u>Douglas A. Scholer</u>	Registration No. <u>52,197</u>

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
Signature	Date
Name (Print/Type) <u>Judith L. Volk</u>	<u>June 8, 2005</u>

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.